

Dear Valued client,

Greetings of the Day!!

This is to inform you that all "Good till triggered/Good till date or any other similar type of order as offered by Tradeswift Broking Private Limited "Tradeswift" to its clients" shall be dealt with in accordance with below policy w.e.f. December 02, 2024 and onwards. This policy applies to all new and existing clients who use GTT/GTD orders as part of their trading activities with us.

Policy on Handling of Good till triggered/Good till date Orders of Client

"Good till triggered/Good till date or any other similar type of order as offered by Tradeswift to its clients, means such orders which enables clients to place buying and selling orders by specifying the price, a threshold or trigger price and also time frame for which an order would remain valid for placing on the stock exchange platform. Such type of orders once placed by the client remains valid in our system till the time it is executed or cancelled by client on his own or on the expiry of time specified by client while placing such orders. **This order type allows flexibility but also comes with responsibilities to manage such orders during corporate actions.** Following policy governs the framework with regard to placement of such orders by clients:-

- A GTT/GTD order will remain active in the system for the duration specified by the client at the time of placement, up to a maximum of 365 calendar days, or for a period determined by Tradeswift from time to time, whichever is shorter. The order will remain active unless released in Stock Exchange platform or cancelled by the client prior to expiration. Upon the expiry of the specified period, the order will be automatically cancelled.
- A user may have maximum of 50 active GTT/GTD orders at any given time.
- On the event of the matching the conditions specified by the client at the time of the GTT/GTD order entry, Tradeswift's system will release the order to Stock Exchange Platform. The placement of the said order to the Exchange is subject to the successful RMS validation by Tradeswift /Stock Exchanges.
- In the event of corporate actions (including but not limited to dividends, stock splits, mergers, price resets, etc.) declared by a company for a scrip where a GTT/GTD order has been placed, and such corporate action results in a price adjustment of the said scrip, Tradeswift shall not independently modify or cancel any unexecuted orders. During these corporate actions, unexecuted GTT/GTD orders may or may not be triggered and may or may not be released to the Stock Exchange Platform. It is the sole responsibility of the user to monitor the status, release, and execution of their GTT/GTD orders under such circumstances.
- GTT /GTD order does not assure execution of an order and includes/involves all risks with respect to Internet Based Trading & Mobile Application based Trading, and risks with respect to trading in the all type of markets segments offered by Stock Exchanges, will extend to the trading using the GTT /GTD orders as well. Clients are advised that

GTT/GTD/any other similar nature of orders. carry certain risks, including but not limited to the potential for execution at unexpected prices due to market movements or corporate actions. Clients are encouraged to regularly review and manage their open GTT/GTD orders.

- Tradeswift shall not be held liable for any unexpected execution or non-execution of GTT/GTD orders due to any reasons, including but not limited to technical or system disruptions, price adjustments arising from corporate actions, regulatory changes, market conditions, or any other unforeseen circumstances. Furthermore, Tradeswift shall not bear any financial or any other sort of obligations arising from such events under any circumstances.
- Tradeswift reserves the right, at its sole discretion, to fully or partially restrict or allow the placement of GTT/GTD orders without prior notice to the user.
- There may be time to time updation in the policy, users are advised to visit our website for updated policy details.
- The policy is also available on our website. We request you to visit our website for regular updates on policies.

For any queries or further assistance, please feel free to contact your branch or relationship manager, email us at support@tradeswift.net or call our customer care at 011-4050505

Version Number: GTT/GTD Version-1

Effective Date: 02-Dec-2024

Review date: 01-Dec-2024